



**GT CAPITAL**  
HOLDINGS, INCORPORATED

November 12, 2018

Philippine Dealing & Exchange Corporation  
37<sup>th</sup> Floor, Tower 1, The Enterprise Center  
6766 Ayala Avenue corner Paseo de Roxas  
Makati City

Attention: Ms. Paula Beatrice A. Bueno  
OIC - Issuer Compliance and Disclosure Department (ICDD)

Gentlemen,

Please see the attached disclosure of GT Capital Holdings, Inc.

Very truly yours,

  
RENEE LYNN MIGANON-ATIENZA  
VP/Head, Legal and Compliance Department



11122018001299



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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### Company Representative

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### Company Information

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**SEC Registration No.** CS200711792  
**Company Name** GT CAPITAL HOLDINGS, INC.  
**Industry Classification** Financial Holding Company Activities  
**Company Type** Stock Corporation

### Document Information

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# COVER SHEET

C S 2 0 0 7 1 1 7 9 2  
S.E.C. Registration Number

G T C A P I T A L H O L D I N G S , I N C .  
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(Company's Full Name)

4 3 r d F L O O R , G T T O W E R I N T E R N A -  
T I O N A L A Y A L A A V E N U E C O R N E R H . V  
D E L A C O S T A S T R E E T M A K A T I C I T Y

(Business Address: No. Street City / Town Province)

Atty. Renee Lynn Miciano-Atienza  
Contact Person

8 3 6 - 4 5 - 0 0  
Company Telephone Number

1 2  
Month

3 1  
Day

Fiscal Year

Advisement Letter on Participation in Corp. Gov. Training

Form Type

Second Wednesday of May

Regular Meeting

Certificate of Permit to Offer Securities for Sale (Order #092)  
Secondary License type, if applicable

M S R D  
Dept. Requiring this Doc.

\_\_\_\_\_  
Amended Articles Number/Section

\_\_\_\_\_  
Total No. of Stockholders

Total amount of Borrowings  
Domestic Foreign

To be Accomplished by SEC Personnel concerned

\_\_\_\_\_  
File Number

\_\_\_\_\_  
LCU

\_\_\_\_\_  
Document I.D.

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Cashier

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**GT CAPITAL**  
HOLDINGS, INCORPORATED

November 7, 2018

SECURITIES & EXCHANGE COMMISSION  
Secretariat Building, PICC Complex  
Roxas Boulevard, Pasay City 1307

Attention : **Rachel Esther J. Gumtang-Remalante**  
Officer-in-Charge, Corporate Governance and Finance Department

Re : Participation in Metropolitan Bank and Trust Company Training  
Session on October 19, 2018

Dear Atty. Gumtang-Remalante:

Pursuant to SEC Memorandum Circular No. 19 series of 2016, we attach herewith a copy of the Certification (the "Certification") by the Institute of Corporate Directors ("ICD") of the participants in Metropolitan Bank and Trust Company's half-day training session for digital trade-offs, anti-money laundering, and corporate governance (the "Training").

Of the participants, the following are directors and officers of GT Capital Holdings, Inc. ("GT Capital"):

1. Arthur V. Ty – Chairman
2. Alfred V. Ty – Co-Vice Chairman
3. Regis V. Puno – Director
4. Atty. Antonio V. Viray – Corporate Secretary

The Training lasted for at least four (4) hours. A copy of the Certification was received by GT Capital on November 6, 2018.

Best regards,

  
**Atty. Renee Lynn Midiano-Atienza**  
VP/ Head, Legal and Compliance Officer



5 November 2018

### CERTIFICATION

This attests that the Institute of Corporate Directors (ICD) annually organizes learning sessions in connection with corporate governance that have been approved by the country's regulators such as the SEC and GCG. Such training activities include the **Exclusive Training Sessions of Publicly-Listed Companies**. This is normally scheduled upon request and agreement with the companies.

This also attests that ICD conducted a half-day training session for The Metropolitan Bank and Trust Company, in the afternoon of Friday, **October 19, 2018**, which included modules on the Digital Trade-Offs, Anti-Money Laundering Act, and Environmental, Social and Governance. The following participants were in attendance:

- Arcinas, Benedicto Jose R.
- Bartolome, Marilou C.
- Bernabe, Laarni D.C.
- Cabral, Emmanuel R.
- Chua, Claudine U.
- Chua, Edgar
- Co, Emma B.
- De Vera, Rosanna
- Del Rosario, Francisco
- Dela Peña, Pocholo V.
- Dimayuga, Perfecto  
Ramon Z.
- Drilon, Rex II C.
- Dy, Teresita L.
- Fragante, Leo R.
- Gue, Harry
- Guiao, Melizza Doris L.
- Kwan, Flordeliz T.
- Lapus, Jesli
- Lavares, Angelica
- Nepomuceno, Corazon Ma.  
Therese B.
- Niere, Susan L.
- Puno, Regis
- Que, Edeza
- Ramos, Bernardino D.V.
- Reyes, Angelica S.
- San Juan, Christian D.
- See, Nelson G.
- So, Richard Benedict S.
- Tan, Lita S.
- Tiamson Mary Rose S.
- Vivian L.
- Ty Alfred
- Ty Arthur V.
- Ubaldo, Leopoldo M.
- Viray, Antonio
- Yang, Christine W.

This certification is being issued to the above-listed concerned as they requested for whatever legal purpose it may serve.

  
**Sisenando U. Bengzon**  
Chief Operations Officer