

April 12, 2024

PHILIPPINE STOCK EXCHANGE, INC. 6/F PSE Tower 5th Avenue corner 28th Street Bonifacio Global City, Taguig City

Attention : MS. MARIGEL M. BANIQUED - GARCIA

Head, Issuer Regulation Division

PHILIPPINE DEALING & EXCHANGE CORP. 29F BDO Equitable Tower 8751 Paseo de Roxas Makati City

Attention : ATTY, SUZY CLAIRE R. SELLEZA

Head, Issuer Compliance and Disclosure Department

### Gentlemen:

In compliance with your requirements, we submit the List of Top 100 Stockholders of Security Bank Corporation as of March 31, 2024.

Very truly yours,

GRACE S. AYSON

**Assistant Corporate Secretary** 

### PSE Disclosure Form 17-12-A - List of Top 100 Stockholders (Common Shares) Reference: Section 17.12 of the Revised Disclosure Rules

### **Type of Securities**

For the period ended MARCH 31, 2024

## Description of the Disclosure SECURITY BANK CORPORATION LIST OF TOP 100 STOCKHOLDERS

Number of Issued and Outstanding Common Shares	753,538,887
Number of Treasury Common Shares, if any	0
<b>Number of Outstanding Common Shares</b>	753,538,887
Number of Listed Common Shares	753,538,887
Number of Lodged Common Shares	537,361,156
PCD Nominee – Filipino	253,432,296
PCD Nominee – Non-Filipino	283,928,860
Number of Certificated Common Shares	216,177,731

Change from previous submission

## Stock Transfer Service Inc. SECURITY BANK CORPORATION List of Top 100 Stockholders As of 03/31/2024

Rank	Name	Holdings	Percentage
1	PCD NOMINEE CORPORATION (NON-FILIPINO)	283,928,860	37.68%
2	PCD NOMINEE CORP.	253,432,296	33.63%
3	ASIASEC EQUITIES, INC.	120,676,957	16.01%
4	FREDERICK Y. DY	31,329,741	04.16%
5	FREDERICK Y. DY &/OR EVELYN DY	27,271,008	03.62%
6	SOCIAL SECURITY SYSTEM	20,000,000	02.65%
7	GOODWOOD RESOURCES DEVELOPMENT INC	6,283,322	00.83%
8	JAMES J.K. HUNG	2,406,708	00.32%
9	SB EQUITIES, INC.	1,887,793	00.25%
10	CAROUSEL HOLDINGS, INC.	400,000	00.05%
11	HENRY O CHUSUEY OR ANNA LISSA CHUSUEY	400,000	00.05%
12	MEPCO EMPLOYEES RETIREMENT PLAN	331,709	00.04%
13	SB EQUITIES, INC. FAO L0015	175,902	00.02%
14	CENTRAL COLLEGES OF THE PHILS.	159,214	00.02%
15	BEE BEE S. CHUA	138,212	00.02%
16	ELECTRONIC TELEPHONE SYSTEMS IND. INC.	117,936	00.02%
17	RAFAEL F. SIMPAO, JR.	117,600	00.02%
18	RAFAEL F. SIMPAO, JR.	99,696	00.01%
19	ERNST HERMANN BEHRENS	82,926	00.01%
20	ERIC RUBEN L. TAN	72,000	00.01%
21	EDWIN FRANCIS L. TAN	72,000	00.01%
22	ANGELIN L. TAN	62,964	00.01%
23	FREDERICK CHAN	62,816	00.01%
24	AIDA COSTA	51,420	00.01%
25	LUISA CO LI	50,500	00.01%
26	CHUA BUN TIAK	49,752	00.01%

27	JUDITH FU	49,534	00.01%
28	QUE LIONG HEE	49,140	00.01%
29	SB EQUITIES, INC. FAO 1YANLOCG	48,485	00.01%
30	JIMMY GO	44,798	00.01%
31	JOSELIN GO	42,929	00.01%
32	MARIE HUA LOI TAY	41,665	00.01%
33	WONG KING LUN	41,360	00.01%
34	SIRENE O. CHUA	39,140	00.01%
35	STEPHEN TAN GAN	37,440	00.00%
36	PABLO SILVA AND/OR FELICIDAD SILVA	36,724	00.00%
37	DELFIN, JR. DE JESUS WENCESLAO	33,170	00.00%
38	HINATUAN MINING CORPORATION	33,170	00.00%
39	ELIZABETH A. ONG	33,170	00.00%
40	CHARLIE TAN SY	33,170	00.00%
41	EMILIANO SY	33,170	00.00%
42	MICHAEL TAN SY	33,170	00.00%
43	TAGANITO MINING CORPORATION	33,170	00.00%
44	WILLIAM TIENG	33,170	00.00%
45	WILSON TIENG	33,170	00.00%
46	ANTONIO MAGTALAS	33,170	00.00%
47	PANA MARKETING & DEV. CORP.	32,672	00.00%
48	POLYMER PRODUCTS	30,075	00.00%
49	TEODORO DULCE	27,761	00.00%
50	BETTY SEE	24,570	00.00%
51	ALBERTO MENDOZA &/OR JEANIE C. MENDOZA	23,774	00.00%
52	CHUNG FU INDUSTRIES (PHILS.), INC.	23,588	00.00%
53	JOHN S. DY	23,342	00.00%
54	SENG LIN CHUA	23,034	00.00%

CRISTINA C. ROMUALDEZ	22,853	00.00%
LOURDES M. CARLOS	22,853	00.00%
ASIASEC EQUITIES, INC.A/C# 100080	22,042	00.00%
ABIGAIL ANN ALANO	21,600	00.00%
MAGDALITA TANG CHUA	21,065	00.00%
MENELEO J. CARLOS	19,403	00.00%
THERESA C. SUN	19,238	00.00%
NICANOR LIM	19,151	00.00%
AGNES B. PAULINO	18,293	00.00%
GISELLE KAREN Y. GO	18,090	00.00%
JESUS GONZALES TIRONA	17,942	00.00%
WILLIAM GUIDO	17,691	00.00%
ALEJANDRO VALENCIA SEE ITF DENNIS SY VALENCIA	17,501	00.00%
FREDENIL H. CASTRO	17,336	00.00%
EDITH KING SY	16,584	00.00%
ANTONIO C. PACIS	16,584	00.00%
NICIA SY RAMOS	16,584	00.00%
VICTORIO CHUA	16,584	00.00%
RODOLFO J. FRANCISCO AND FRANCISCO, GLORIA C.	16,584	00.00%
VILLARICA PAWNSHOP, INC.	16,584	00.00%
BIO LIM YAO	16,584	00.00%
MICHAEL YAP	16,584	00.00%
ANITA C. YU	16,584	00.00%
MANUEL L. YU	16,584	00.00%
RODOLFO PAGUIA DEL ROSARIO	16,584	00.00%
ARTHUR T. CHUA	16,584	00.00%
MARIA FELISA ANG GO	16,512	00.00%
NGO GIOK KIM	16,380	00.00%
ROLANDO ANG	16,380	00.00%
JOSEPHINE GO DY	16,000	00.00%
	ASTASEC EQUITIES, INC.A/C# 100080  ASTASEC EQUITIES, INC.A/C# 100080  ASTASEC EQUITIES, INC.A/C# 100080  MAGDALITA TANG CHUA  MENELEO J. CARLOS  THERESA C. SUN  NICANOR LIM  AGNES B. PAULINO  GISELLE KAREN Y. GO  JESUS GONZALES TIONA  WILLIAM GUIDO  ALEJANDRO VALENCIA SEE ITF DENNIS SY VALENCIA  FREDENIL H. CASTRO  EDITH KING SY  ANTONIO C. PACIS  NICIA SY RAMOS  VICTORIO CHUA  RODOLFO J. FRANCISCO AND FRANCISCO, GLORIA C.  VILLARICA PAWNSHOP, INC.  BIO LIM YAO  MICHAEL YAP  ANITA C. YU  MANUEL L. YU  RODOLFO PAGUIA DEL ROSARIO  ARTHUR T. CHUA  MARIA FELISA ANG GO  NGO GIOK KIM  ROLANDO ANG	ASIASEC EQUITIES, INC.A/C\$ 100080  ASIASEC EQUITIES, INC.A/C\$ 100080  ASIGAIL ANN ALANO  21,600  MAGDALITA TANG CHUA  21,065  MENELEO J. CARLOS  19,403  THERESA C. SUN  19,238  NICANOR LIM  19,151  AGNES B. PAULINO  38,293  GISELLE KAREN Y. GO  38,090  JESUS GONZALES TIRONA  17,942  WILLIAM GUIDO  17,691  ALEJANDRO VALENCIA SEE ITF DENNIS SY VALENCIA  17,336  EDITH KING SY  ANTONIO C. PACIS  NICIA SY RAMOS  VICTORIO CHUA  RODOLFO J. FRANCISCO AND FRANCISCO, GLORIA C.  VILLARICA FAWNSHOP, INC.  BIO LIM YAO  MICHAEL YAP  MICHAEL YAP  MANUEL L. YU  16,584  MANUEL L. YU  16,584  RODOLFO PAGUIA DEL ROSARIO  ARTHUR T. CHUA  MARIA FELISA ANG GO  NG GIOK KIM  MARIA FELISA ANG GO  ROLANDO ANG  16,380  ROLANDO ANG

85	MING HUEI HUANG	15,000	00.00%
86	GISELLE KAREN Y. GO	15,000	00.00%
87	PUA SOO SIANG	15,000	00.00%
88	PUA GUAN TING	15,000	00.00%
89	EDWARD A LEE	14,435	00.00%
90	FRANCISCO G. GUTIERREZ	14,400	00.00%
91	CHARLES KHO ANG	14,153	00.00%
92	EDITHA I. ALCANTARA	14,153	00.00%
93	JEJOMAR C. BINAY	14,153	00.00%
94	JEANNE YAO	13,760	00.00%
95	ISIDRO L. LIRAG	13,632	00.00%
96	ANTHONY DEE LIM	13,500	00.00%
97	JUDITH FU	13,388	00.00%
98	ROBERT FUNG KUAN	13,268	00.00%
99	PAULINO ROXAS CHUA	13,268	00.00%
100	ELENA ANG GO	13,209	00.00%

Stock Transfer Service Inc.
SECURITY BANK CORPORATION
List of Top 100 Stockholders
As of 03/31/2024

Rank	Name		Holdings	Percentage	
------	------	--	----------	------------	--

Total Top 100 Shareholders : 751,397,720 99.71%

Total Issued Shares 753,538,887

### SECB00000000 March 27, 2024

# OUTSTANDING BALANCES FOR SPECIFIC COMPANY March 27, 2024 SECB00000000

BPNAME	QUANTITY
UPCC SECURITIES CORP.	57,400
A & A SECURITIES, INC.	319,901
ABACUS SECURITIES CORPORATION	6,468,535
PHILSTOCKS FINANCIAL INC	895,697
A. T. DE CASTRO SECURITIES CORP.	136,995
ALPHA SECURITIES CORP.	116,145
BA SECURITIES, INC.	215,888
AP SECURITIES INCORPORATED	824,090
ANSALDO, GODINEZ & CO., INC.	432,260
AB CAPITAL SECURITIES, INC.	858,580
SARANGANI SECURITIES, INC.	50,410
SB EQUITIES,INC.	24,061,408
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	368
ASIASEC EQUITIES, INC.	113,439,583
ASTRA SECURITIES CORPORATION	354,000
CHINA BANK SECURITIES CORPORATION	1,886,946
BELSON SECURITIES, INC.	169,229
BENJAMIN CO CA & CO., INC.	111
B. H. CHUA SECURITIES CORPORATION	119,450
JAKA SECURITIES CORP.	2,851
BPI SECURITIES CORPORATION	4,914,279
CAMPOS, LANUZA & COMPANY, INC.	201,103
SINCERE SECURITIES CORPORATION	6,845
CENTURY SECURITIES CORPORATION	2,258
CTS GLOBAL EQUITY GROUP, INC.	206,456
TRITON SECURITIES CORP.	477,226
IGC SECURITIES INC.	255,419
CUALOPING SECURITIES CORPORATION	2,593
DAVID GO SECURITIES CORP.	609,705
DIVERSIFIED SECURITIES, INC.	166,631
E. CHUA CHIACO SECURITIES, INC.	443,543
EQUITABLE SECURIITES (PHILS.) INC.	692
EAST WEST CAPITAL CORPORATION	186
EASTERN SECURITIES DEVELOPMENT CORPORATION	473,438
EQUITIWORLD SECURITIES, INC.	9,035
EVERGREEN STOCK BROKERAGE & SEC., INC.	543,053
FIRST ORIENT SECURITIES, INC.	30,675
FIRST INTEGRATED CAPITAL SECURITIES, INC.	845

F. YAP SECURITIES, INC.	620 220
GENESIS GLOBAL SECURITIES, INC.	639,239
AURORA SECURITIES, INC.	27,001
GLOBALINKS SECURITIES & STOCKS, INC.	597,858
JSG SECURITIES, INC.	54,600
GOLDSTAR SECURITIES, INC.	100,672
GUILD SECURITIES, INC.	5,322
HDI SECURITIES, INC.	1,465,684
H. E. BENNETT SECURITIES, INC.	118,596
HIGHLAND SECURITIES PHIL., INC.	80
HK SECURITIES, INC.	24
I. ACKERMAN & CO., INC.	810
I. B. GIMENEZ SECURITIES, INC.	4,036
INVESTORS SECURITIES, INC,	112,143
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	69,067
INTRA-INVEST SECURITIES, INC.	153,828
ASIAN CAPITAL EQUITIES, INC.	3,590
VALUE QUEST SECURITIES CORPORATION	473,484
STRATEGIC EQUITIES CORP.	170,335
LARRGO SECURITIES CO., INC.	23,179
LIPPO SECURITIES, INC.	840
LITONJUA SECURITIES, INC.	1,000
LOPEZ, LOCSIN, LEDESMA & CO., INC.	4,895
LUCKY SECURITIES, INC.	771,814
LUYS SECURITIES COMPANY, INC.	143,972
MANDARIN SECURITIES CORPORATION	152,874
MARINO OLONDRIZ Y CIA	1,608
COL Financial Group, Inc.	12,730,863
DA MARKET SECURITIES, INC.	362,766
MERCANTILE SECURITIES CORP.	70,130
MERIDIAN SECURITIES, INC.	65,079
MDR SECURITIES, INC.	104,484
REGIS PARTNERS, INC.	158,160
MOUNT PEAK SECURITIES, INC.	67
NEW WORLD SECURITIES CO., INC.	18,491
OPTIMUM SECURITIES CORPORATION	29,737
RCBC SECURITIES, INC.	470,707
PAN ASIA SECURITIES CORP.	21,873
PAPA SECURITIES CORPORATION	1,843,974
MAYBANK SECURITIES, INC.	1,498,525
PLATINUM SECURITIES, INC.	796,580
PNB SECURITIES, INC.	870,307
PREMIUM SECURITIES, INC.	152,641
SALISBURY SECURITIES CORPORATION	87,981

QUALITY INVESTMENTS & SECURITIES CORPORATION	494,719
R & L INVESTMENTS, INC.	135
ALAKOR SECURITIES CORPORATION	12,016
R. COYIUTO SECURITIES, INC.	177,941
REGINA CAPITAL DEVELOPMENT CORPORATION	391,506
R. NUBLA SECURITIES, INC.	1,106,622
AAA SOUTHEAST EQUITIES, INCORPORATED	616,129
R. S. LIM & CO., INC.	179,534
RTG & COMPANY, INC.	45,630
S.J. ROXAS & CO., INC.	87,671
SECURITIES SPECIALISTS, INC.	21,370
FIDELITY SECURITIES, INC.	62,969
SUMMIT SECURITIES, INC.	455,237
STANDARD SECURITIES CORPORATION	517,960
SUPREME STOCKBROKERS, INC	3,000
TANSENGCO & CO., INC.	111,941
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	207,889
TOWER SECURITIES, INC.	1,016,411
APEX PHILIPPINES EQUITIES CORPORATION	550
TRENDLINE SECURITIES CORPORATION	1,180
DRAGONFI SECURITIES, INC.	24,050
LANDBANK SECURITIES, INC.	94,705
UOB KAY HIAN SECURITIES (PHILS.), INC.	8,112
E.SECURITIES, INC.	4,246
VENTURE SECURITIES, INC.	21,336
FIRST METRO SECURITIES BROKERAGE CORP.	8,649,720
WEALTH SECURITIES, INC.	889,132
WESTLINK GLOBAL EQUITIES, INC.	431,574
BERNAD SECURITIES, INC.	100,626
WONG SECURITIES CORPORATION	13,500
YAO & ZIALCITA, INC.	947,904
YU & COMPANY, INC.	727,023
BDO SECURITIES CORPORATION	23,954,767
EAGLE EQUITIES, INC.	161,272
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	52,470
SOLAR SECURITIES, INC.	1,222,067
G.D. TAN & COMPANY, INC.	28,432
CLSA PHILIPPINES, INC.	2,003
PHILIPPINE EQUITY PARTNERS, INC.	1,520,188
UNICAPITAL SECURITIES INC.	1,502,647
SunSecurities, Inc.	168,790
ARMSTRONG SECURITIES, INC.	29,033
TIMSON SECURITIES, INC.	123,650
STAR ALLIANCE SECURITIES CORP.	237,620

VC SECURITIES CORPORATION	1,249,100
CHINA BANKING CORPORATION - TRUST GROUP	1,325
CITIBANK N.A.	15,081,044
DEUTSCHE BANK MANILA-CLIENTS A/C	3,686,450
THE HONGKONG & SHANGHAI BANKING CORP. LTDOWN ACCOUNT	32
BANCO DE ORO - TRUST BANKING GROUP	5,037,095
BANK OF COMMERCE - TRUST SERVICES GROUP	107,860
PNB TRUST BANKING GROUP	935,015
RCBC TRUST CORPORATION	9,630
RCBC TRUST CORPORATION	297,110
DEUTSCHE BANK MANILA-CLIENTS A/C	229,412
STANDARD CHARTERED BANK	200,002,532
THE HONGKONG AND SHANGHAI BANKING CORP. LTDCLIENTS' ACCT.	11,126,525
UNITED COCONUT PLANTERS LIFE ASSURANCE CORPORATION	1,072,500
MBTC - TRUST BANKING GROUP	240,840
SOCIAL SECURITY SYSTEM	17,666,398
GOVERNMENT SERVICE INSURANCE SYSTEM	50,235,924
AB CAPITAL & INVESTMENT CORP TRUST & INVESTMENT DIV.	420
BDO-TIG SECURITIES SERVICES	72,960
COCOPLANS, INC.	3,590
THE HONGKONG AND SHANGHAI BANKING CORP. LTDCLIENTS' ACCT.	273,154
LAND BANK OF THE PHILIPPINES-TRUST BANKING GROUP	154,466
UCPB GENERAL INSURANCE CO., INC.	39,800
UNITED FUND, INC.	74,000
EASTWEST BANKING CORPORATION - TRUST DIVISION	2,180
SSS PROVIDENT FUND	202,300
SECURITY BANK CORPORATION	161
Total	537,361,156



Annex "A"

REPUBLIC OF THE PHILIPPINES ) CITY OF MAKATI CI ) S.S.

### **CORPORATE SECRETARY'S CERTIFICATE**

ATTY. JOEL RAYMOND R. AYSON, Filipino, of legal age, with principal office at the 8<sup>th</sup> Floor of Security Bank Centre, 6776 Ayala Avenue, Makati City, Philippines, after having been sworn according to law, hereby depose and state:

- 1. I am the duly elected and qualified Corporate Secretary of Security Bank Corporation, a corporation duly organized and existing under the laws of the Philippines with principal address at Security Bank Centre, 6776 Ayala Avenue, Makati City, Philippines and listed on The Philippine Stock Exchange, Inc. since June 8, 1995;
- 2. As Corporate Secretary, I have custody and access to the corporate records of the Corporation, including, but not limited to, the books and records of the transfer agent;
- 3. Based on the records of the Corporation as of 1<sup>st</sup> quarter (the "Covered Period"), the Corporation's capital structure is as follows:

Authorized Capital Stock	Common – Php 10,000,000,000.00 Preferred – Php 100,000,000.00		
Number, Classes of Shares, Par Value per	Type of Share	Number of Shares	Value / Share
Share	Common	1,000,000,000	Php 10.00
	Preferred	1,000,000,000	Php 00.10
Issued and Outstanding Shares	753,538,887 Common Shares 1,000,000,000 Preferred Shares		
Fully-paid Shares	753,538,887 Common Shares		
Treasury Shares	1,000,000,000 Preferred Shares		
Outstanding Common Shares	753,538,887 Common Shares 1,000,000,000 Preferred Shares		
Listed Common Shares	753,538,887 Common Shares		
Certificated Common Shares	216,177,731 Common Shares		



4. Based on the records of PDTC as of the Covered Period, there are 537,361,156 lodged shares, broken down, as follows:

PCD Nominee - Filipino	253,432,296 Common Shares
PCD Nominee – Non-Filipino	283,928,860 Common Shares

- 5. All issued shares are duly and validly issued in accordance with existing laws, rules and regulations and are likewise listed in The Philippine Stock Exchange, Inc. ("PSE").
- 6. All lodged shares are validly issued and listed on the PSE.
- 7. The Corporation has no issued but not fully paid shares.
- 8. The 1,000,000,000 shares which have been issued and outstanding but are not yet listed are due to these shares being preferred shares that are currently not listed in the exchange.

IN WITNESS WHEREOF, I have hereunto set my hand this 12<sup>th</sup> day of April 2024, in Makati City, Philippines.

ATTY. JOEL RAYMOND R. AYSON Corporate Secretary

SUBSCRIBED AND SWORN to before me, a notary public in and for the city named above, personally appeared \_\_\_\_\_\_ with Passport No. P1101504B issued on March 19, 2019 at DFA MANILA, who is personally known to me to be the same person who executed the foregoing instrument, signed the same in my presence and who took an oath before me, as to such instrument.

Doc. No. Page No.

Book No. \_\_\_\_\_\_ Series of 2024 ATTY. HENSON M MONTALVO, CPA
NOTARY PUBLIC FOR AND IN THE CITY OF MAKATI
UNTIL DECEMBER 31, 2024
APPOINTMENT No. M-386; ROLL No. 65865
IBP No. 388285; 01/02/2024; PPLM CHAPTER
PTR No. 10074069; 01/02/2024; MAKATI CITY

MCLE Compliance No. VII-0028676 20/F SECURITY BANK CENTRE, 6776 AYALA AVE., MAKATI CITY