

FACT SHEET

Since 2006, the PDS Group has been recognizing members of its community and market stakeholders that exhibit outstanding performance, leadership, innovation, and continued pursuit in contributing to a robust domestic capital market. The Awards are as follows:

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|--|--|---|--|---|--|
| Foreign Exchange Category | <i>Top Inter-Dealer Broker Spot Foreign Exchange</i> | | The Inter-Dealer Broker that has closed the largest spot foreign exchange trading volume for the year as measured by the total spot USD/PHP volume turnover by USD Amount. | | |
| | <i>Top 5 Spot Foreign Exchange Dealers</i> | | The Foreign Exchange Dealer Banks that have generated the most trading volume for the year as measured by the total USD/PHP volume turnover transacted by USD amount. | | |
| | <i>Most Active Bank in FX Spot Matching</i> | | The Foreign Exchange Dealer Bank that has generated the most trading volume on USD/PHP FX Spot Matching for the year as measured by the total USD Amount transacted through the Thomson Reuters' FX Matching System. | | |
| Depository, Settlement and Custody Category | <i>Top 5 Depository Brokering Participants</i> | | The Depository Participants that have generated the highest volume for the year as measured by the average daily total market value of depository holdings. | | |
| | <i>Top Custody House</i> | | The Custody Participant that has generated the highest volume for the year as measured by the total average daily market value of custodian holdings for both equities and fixed-income securities. | | |
| | <i>Top 5 PDDTS/PvP Participants</i> | | The PDDTS/PvP banks that have generated the highest volume for the year as measured by the total number of transactions made. | | |
| | <i>Citation: Most Active Bank in RTS</i> | | The RTS Bank that has generated the highest volume for the year as measured by the total number of transactions made. | | |
| <i>Top 5 Fixed-Income Cash Settlement Banks</i> | | The Cash Settlement Banks that have generated the highest volume for the year as measured by the total number of fixed-income transactions settled for PDEX Trading Participants who are non-DDA holders at the Central Bank. | | | |
| Fixed-Income Category | Corporate Securities | <i>Top Brokering Participant for Corporate Securities</i> | | The Brokering Participant that has generated the most trading volume for the year as measured by the total volume turnover of corporate securities transacted by face amount. | |
| | | <i>Top Dealing Participant for Corporate Securities</i> | | The Dealing Participant that has generated the most trading volume for the year as measured by the total volume turnover of corporate securities transacted by face amount. | |
| | | Issuer Awards | <i>Special Citations</i> | | Citation for the Public Issuance and Listing of Homestarter Bonds, the first security of its type to be listed on PDEX. This citation is given to an innovative issue specifically targeted for Individual Investors and providing an option for holders to use bond proceeds for residential property purchases. Citation for the first Issuer to have optimized the Shelf-Registration framework from the 2015 SRC IRR. This citation is given to the most active issuer for the year, having achieved four public listings in 2016, owing to its optimization of the Shelf-Registration framework. |
| | | | <i>Top Traded Corporate Bond Issue of the Year</i> | | The most actively traded Corporate Issue measured in terms of trading volume. |
| | | | <i>Top 5 Corporate Issue Managers/Arrangers (Investment House, Bank Category)</i> | | The Issue Managers or Arrangers in the corporate fixed-income securities market that were the most active for the year as measured by the volume of listed corporate securities where they have acted as lead manager or arranger. |
| | | | <i>Top 5 Corporate Securities Market Makers</i> | | The active Dealing Participants that have been committed liquidity providers for listed corporate fixed income securities for the year as measured by the number of corporate security market making commitments. |
| | Government and Corporate Securities | <i>Top Institutional Broker Fixed-Income Securities</i> | | The Inter-Dealer Broker that has closed the most fixed-income trading volume for the year as measured by the total volume turnover of government securities transacted by face amount. | |
| | | <i>Top 5 Fixed-Income Brokering Participants</i> | | The Brokering Participants that have generated the most trading volume for the year as measured by the total volume turnover of securities transacted by face amount. | |
| | | <i>Top Brokering Participant - Retail Transactions</i> | | The Brokering Participant that has generated the most number of trade tickets for the year as measured by the total number of transactions by trade count. The award recognizes the efforts to achieve wider retail distribution of fixed-income securities | |
| | | <i>Top 5 Fixed-Income Dealing Participants</i> | | The Dealing Participants that have generated the most trading volume for the year as measured by the total volume turnover of securities transacted by face amount. | |
| <i>Best Securities House Cesar E.A. Virata Award (Bank & Investment House)</i> | | The Trading Participant that has focused on fixed-income securities business as evidenced by its exceptional performance in trading, distribution and settlement activities for the year. | | | |