## PROPOSED AMENDMENT TO THE PDEX RULES FOR THE FIXED INCOME SECURITIES MARKET, AS AMENDED: RULE 7 ON LISTING AND ENROLLMENT

PROPOSED AMENDMENT TO : Rule 7.6 Admission Procedure

**REFERENCE NO.** : Proposal No. 007-2021

**RATIONALE** : To make reference to the new mode of submission of

requirements for admission of securities to PDEx

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CURRENT RULE	PROPOSED AMENDMENT
<ul> <li>Rule 7.6 Admission Procedure</li> <li>1. To apply for admission, the Issuer shall submit to PDEx the application and agreement for listing or enrollment, as applicable, together with all the documents required in such number of copies as specified in the applicable checklist prescribed by PDEx, and pay all applicable fees.</li> </ul>	(Note: This new provision will be numbered as 7.6.2 and the numbering of the succeeding provisions shall be revised to reflect the correct sequential order.)  Rule 7.6 Admission Procedure  1. xxx
2. After the application has been filed, PDEx may seek additional information from the Issuer, Underwriter / Arranger / Issue Manager, Registry, Facility Agent, and where applicable, the Guarantor, or any other person in relation to an application for admission.  xxx	<ol> <li>The Issuer shall submit the requirements for listing or enrollment through an electronic portal maintained by PDEx and PDTC, as applicable, in accordance with the relevant operational guidelines, terms of use, subscription agreement governing the use of such electronic portal and pertinent laws (e.g., E-Commerce Act) on electronic documents. Nevertheless, the Issuer may be allowed by PDEx to use the manual mode of submission for listing or enrollment, and regardless of mode, the Issuer must faithfully comply with all its warranties and obligations under the applicable operating guidelines and agreements. Any violations thereof shall be penalized accordingly under these Rules.</li> <li>xxx</li> </ol>