

**CAPITAL MARKET PARTICIPANTS AS OF 05 AUGUST 2021**

|    | <b>Company Name</b>                                    | <b>License Type</b> |
|----|--|---------------------|
| 1  | ABACUS CAPITAL & INVESTMENT CORPORATION                | Investment House    |
| 2  | ASIAN ALLIANCE INVESTMENT CORP.                        | Investment House    |
| 3  | BA INVESTMENTS AND HOLDINGS, INC.                      | Investment House    |
| 4  | CIMB BANCOM CAPITAL CORPORATION                        | Investment House    |
| 5  | CITICORP CAPITAL PHILIPPINES, INC.                     | Investment House    |
| 6  | CLSA EXCHANGE CAPITAL, INC.                            | Investment House    |
| 7  | INVESTMENT & CAPITAL CORPORATION OF THE PHILIPPINES    | Investment House    |
| 8  | PBC CAPITAL INVESTMENT CORPORATION                     | Investment House    |
| 9  | PENTA CAPITAL & INVESTMENT CORPORATION                 | Investment House    |
| 10 | PHILIPPINE REGIONAL INVESTMENT DEVELOPMENT CORPORATION | Investment House    |
| 11 | PNB CAPITAL AND INVESTMENT CORPORATION                 | Investment House    |
| 12 | SB CAPITAL INVESTMENT CORPORATION                      | Investment House    |
| 13 | STATE INVESTMENT HOUSE, INC.                           | Investment House    |
| 14 | UBS INVESTMENTS PHILIPPINES INC.                       | Investment House    |
| 15 | YORKVILLE CAPITAL AND INVESTMENT CORP.                 | Investment House    |

|    | <b>Company Name</b>                        | <b>License Type</b>                                       |
|----|--|---|
| 1  | AB CAPITAL & INVESTMENT CORPORATION        | Investment House Engaged in Dealing Government Securities |
| 2  | AMALGAMATED INVESTMENT BANCORPORATION      | Investment House Engaged in Dealing Government Securities |
| 3  | BDO CAPITAL & INVESTMENT CORPORATION       | Investment House Engaged in Dealing Government Securities |
| 4  | BPI CAPITAL CORPORATION                    | Investment House Engaged in Dealing Government Securities |
| 5  | CHINA BANK CAPITAL CORPORATION             | Investment House Engaged in Dealing Government Securities |
| 6  | FIRST METRO INVESTMENT CORPORATION         | Investment House Engaged in Dealing Government Securities |
| 7  | MAYBANK ATR KIM ENG CAPITAL PARTNERS, INC. | Investment House Engaged in Dealing Government Securities |
| 8  | MULTINATIONAL INVESTMENT BANCORPORATION    | Investment House Engaged in Dealing Government Securities |
| 9  | PHILIPPINE COMMERCIAL CAPITAL, INC.        | Investment House Engaged in Dealing Government Securities |
| 10 | RCBC CAPITAL CORPORATION                   | Investment House Engaged in Dealing Government Securities |
| 11 | UNICAPITAL, INC.                           | Investment House Engaged in Dealing Government Securities |

|   | <b>Company Name</b>                             | <b>License Type</b>   |
|---|---|---|
| 1 | ASIA UNITED BANK CORPORATION                    | Underwriters of Securities Engaged in Dealing Government Securities |
| 2 | AUSTRALIA AND NEW ZEALAND BANKING GROUP LIMITED | Underwriters of Securities Engaged in Dealing Government Securities |
| 3 | CHINA BANKING CORPORATION                       | Underwriters of Securities Engaged in Dealing Government Securities |
| 4 | DEUTSCHE BANK AG MANILA BRANCH                  | Underwriters of Securities Engaged in Dealing Government Securities |
| 5 | DEVELOPMENT BANK OF THE PHILIPPINES             | Underwriters of Securities Engaged in Dealing Government Securities |
| 6 | EAST WEST BANKING CORPORATION                   | Underwriters of Securities Engaged in Dealing Government Securities |

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| 7  | ING BANK N.V. MANILA BRANCH  | Underwriters of Securities Engaged in Dealing Government Securities |
| 8  | LAND BANK OF THE PHILIPPINES   | Underwriters of Securities Engaged in Dealing Government Securities |
| 9  | STANDARD CHARTERED BANK  | Underwriters of Securities Engaged in Dealing Government Securities |
| 10 | THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD.-PHILIPPINE BRANCH | Underwriters of Securities Engaged in Dealing Government Securities |
| 11 | UNIONBANK OF THE PHILIPPINES   | Underwriters of Securities Engaged in Dealing Government Securities |
| 12 | UNITED COCONUT PLANTERS BANK   | Underwriters of Securities Engaged in Dealing Government Securities |

|    | Company Name  | License Type                          |
|----|---|---------------------------------------|
| 1  | BANK OF CHINA LIMITED                                   | Government Securities Eligible Dealer |
| 2  | BANK OF COMMERCE  | Government Securities Eligible Dealer |
| 3  | BANK OF MAKATI (A SAVINGS BANK), INC.                   | Government Securities Eligible Dealer |
| 4  | BANK OF THE PHILIPPINE ISLANDS                          | Government Securities Eligible Dealer |
| 5  | BDO PRIVATE BANK, INC.                                  | Government Securities Eligible Dealer |
| 6  | BDO UNIBANK, INC.                                       | Government Securities Eligible Dealer |
| 7  | CHINA BANK SAVINGS, INC.                                | Government Securities Eligible Dealer |
| 8  | CITIBANK N.A.   | Government Securities Eligible Dealer |
| 9  | CITYSTATE SAVINGS BANK, INC.                            | Government Securities Eligible Dealer |
| 10 | CTBC BANK (PHILIPPINES) CORP.                           | Government Securities Eligible Dealer |
| 11 | HSBC SAVINGS BANK (PHILIPPINES), INC.                   | Government Securities Eligible Dealer |
| 12 | JPMORGAN CHASE BANK, NATIONAL ASSOCIATION               | Government Securities Eligible Dealer |
| 13 | MALAYAN BANK SAVINGS & MORTGAGE BANK, INC.              | Government Securities Eligible Dealer |
| 14 | MAYBANK PHILIPPINES, INCORPORATED                       | Government Securities Eligible Dealer |
| 15 | METROPOLITAN BANK AND TRUST COMPANY                     | Government Securities Eligible Dealer |
| 16 | OVERSEAS FILIPINO BANK, INC. A SAVINGS BANK OF LANDBANK | Government Securities Eligible Dealer |
| 17 | PHILIPPINE BANK OF COMMUNICATIONS                       | Government Securities Eligible Dealer |
| 18 | PHILIPPINE BUSINESS BANK, INC. (A SAVINGS BANK)         | Government Securities Eligible Dealer |
| 19 | PHILIPPINE NATIONAL BANK                                | Government Securities Eligible Dealer |
| 20 | PHILIPPINE SAVINGS BANK                                 | Government Securities Eligible Dealer |
| 21 | RIZAL COMMERCIAL BANKING CORPORATION                    | Government Securities Eligible Dealer |
| 22 | ROBINSONS BANK CORPORATION                              | Government Securities Eligible Dealer |
| 23 | SECURITY BANK CORPORATION                               | Government Securities Eligible Dealer |
| 24 | STERLING BANK OF ASIA INC. (A SAVINGS BANK)             | Government Securities Eligible Dealer |
| 25 | UCPB SAVINGS BANK, INC.                                 | Government Securities Eligible Dealer |

|    | Company Name  | License Type               |
|----|---|----------------------------|
| 1  | AB CAPITAL & INVESTMENT CORPORATION                   | Investment Company Adviser |
| 2  | ATR ASSET MANAGEMENT, INC.                            | Investment Company Adviser |
| 3  | BPI INVESTMENT MANAGEMENT, INC.                       | Investment Company Adviser |
| 4  | CLIMBS INVESTMENT MANAGEMENT AND ADVISORY CORPORATION | Investment Company Adviser |
| 5  | COCOLIFE ASSET MANAGEMENT COMPANY, INC.               | Investment Company Adviser |
| 6  | COL INVESTMENT MANAGEMENT, INC.                       | Investment Company Adviser |
| 7  | FIRST METRO ASSET MANAGEMENT (FMAMI), INC.            | Investment Company Adviser |
| 8  | FIRST METRO INVESTMENT CORPORATION                    | Investment Company Adviser |
| 9  | MBG INVESTMENT MANAGEMENT, INC.                       | Investment Company Adviser |
| 10 | PHILEQUITY MANAGEMENT, INC.                           | Investment Company Adviser |
| 11 | SUN LIFE ASSET MANAGEMENT COMPANY, INC.               | Investment Company Adviser |

|   | Company Name               | License Type            |
|---|----------------------------|-------------------------|
| 1 | AB CAPITAL SECURITIES INC. | Mutual Fund Distributor |

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| 2  | AFFINITY CAPITAL CORP.  | Mutual Fund Distributor |
| 3  | ATR ASSET MANAGEMENT, INC.  | Mutual Fund Distributor |
| 4  | BANK OF THE PHILIPPINE ISLANDS  | Mutual Fund Distributor |
| 5  | BDO SECURITIES CORPORATION  | Mutual Fund Distributor |
| 6  | BPI CAPITAL CORPORATION   | Mutual Fund Distributor |
| 7  | BPI INVESTMENT MANAGEMENT, INC.                                       | Mutual Fund Distributor |
| 8  | CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC. | Mutual Fund Distributor |
| 9  | COL FINANCIAL GROUP, INC.   | Mutual Fund Distributor |
| 10 | FIRST METRO SECURITIES BROKERAGE CORPORATION                          | Mutual Fund Distributor |
| 11 | NOVEL SECURITIES, INC.  | Mutual Fund Distributor |
| 12 | PHILIPPINE EQUITY PARTNERS, INC.                                      | Mutual Fund Distributor |
| 13 | RAMPVER FINANCIALS INC.   | Mutual Fund Distributor |
| 14 | UNICAPITAL SECURITIES, INC.   | Mutual Fund Distributor |
| 15 | WEALTH SECURITIES, INC.   | Mutual Fund Distributor |

|    | <b>Company Name</b>                          | <b>License Type</b>         |
|----|--|-----------------------------|
| 1  | A.T. DE CASTRO SECURITIES CORPORATION        | Broker/Dealer in Securities |
| 2  | AAA SOUTHEAST EQUITIES, INC.                 | Broker/Dealer in Securities |
| 3  | AB CAPITAL SECURITIES INC.                   | Broker/Dealer in Securities |
| 4  | ABACUS SECURITIES CORPORATION                | Broker/Dealer in Securities |
| 5  | ALAKOR SECURITIES CORPORATION                | Broker/Dealer in Securities |
| 6  | ALPHA SECURITIES CORPORATION                 | Broker/Dealer in Securities |
| 7  | AP SECURITIES INCORPORATED                   | Broker/Dealer in Securities |
| 8  | APEX (PHILIPPINES) EQUITIES CORPORATION      | Broker/Dealer in Securities |
| 9  | ARMSTRONG SECURITIES, INC.                   | Broker/Dealer in Securities |
| 10 | ASIASEC EQUITIES INC.                        | Broker/Dealer in Securities |
| 11 | ASTRA SECURITIES CORPORATION                 | Broker/Dealer in Securities |
| 12 | AURORA SECURITIES, INC.                      | Broker/Dealer in Securities |
| 13 | B A SECURITIES, INC.                         | Broker/Dealer in Securities |
| 14 | B.H. CHUA SECURITIES CORPORATION             | Broker/Dealer in Securities |
| 15 | BDO NOMURA SECURITIES, INC.                  | Broker/Dealer in Securities |
| 16 | BDO SECURITIES CORPORATION                   | Broker/Dealer in Securities |
| 17 | BELSON SECURITIES, INC.                      | Broker/Dealer in Securities |
| 18 | BENJAMIN CO CA & COMPANY, INC.               | Broker/Dealer in Securities |
| 19 | BERNAD SECURITIES, INC.                      | Broker/Dealer in Securities |
| 20 | BPI SECURITIES CORPORATION                   | Broker/Dealer in Securities |
| 21 | CAMPOS, LANUZA & COMPANY, INC.               | Broker/Dealer in Securities |
| 22 | CENTURY SECURITIES CORPORATION               | Broker/Dealer in Securities |
| 23 | CHINA BANK SECURITIES CORPORATION            | Broker/Dealer in Securities |
| 24 | CLSA PHILIPPINES, INC.                       | Broker/Dealer in Securities |
| 25 | COHERCO SECURITIES, INC.                     | Broker/Dealer in Securities |
| 26 | COL FINANCIAL GROUP, INC.                    | Broker/Dealer in Securities |
| 27 | CREDIT SUISSE SECURITIES (PHILIPPINES) INC.  | Broker/Dealer in Securities |
| 28 | CTS GLOBAL EQUITY GROUP, INC.                | Broker/Dealer in Securities |
| 29 | CUALOPING SECURITIES CORPORATION             | Broker/Dealer in Securities |
| 30 | DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.  | Broker/Dealer in Securities |
| 31 | DEUTSCHE REGIS PARTNERS, INC.                | Broker/Dealer in Securities |
| 32 | DIVERSIFIED SECURITIES, INC.                 | Broker/Dealer in Securities |
| 33 | E. CHUA CHIACO SECURITIES, INC.              | Broker/Dealer in Securities |
| 34 | EAGLE EQUITIES, INC.                         | Broker/Dealer in Securities |
| 35 | EASTERN SECURITIES DEVELOPMENT CORPORATION   | Broker/Dealer in Securities |
| 36 | EASTWEST CAPITAL CORPORATION                 | Broker/Dealer in Securities |
| 37 | EQUITIWORLD SECURITIES, INC.                 | Broker/Dealer in Securities |
| 38 | EVERGREEN STOCK BROKERAGE & SECURITIES, INC. | Broker/Dealer in Securities |

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| 39 | F. YAP SECURITIES, INC.                          | Broker/Dealer in Securities |
| 40 | FIDELITY SECURITIES, INC.                        | Broker/Dealer in Securities |
| 41 | FIRST INTEGRATED CAPITAL SECURITIES, INC.        | Broker/Dealer in Securities |
| 42 | FIRST METRO SECURITIES BROKERAGE CORPORATION     | Broker/Dealer in Securities |
| 43 | FIRST ORIENT SECURITIES, INC.                    | Broker/Dealer in Securities |
| 44 | G.D. TAN & CO., INC.                             | Broker/Dealer in Securities |
| 45 | GLOBALINKS SECURITIES & STOCKS, INC.             | Broker/Dealer in Securities |
| 46 | GOLDEN TOWER SECURITIES AND HOLDINGS, INC.       | Broker/Dealer in Securities |
| 47 | GOLDSTAR SECURITIES, INC.                        | Broker/Dealer in Securities |
| 48 | GUILD SECURITIES, INC.                           | Broker/Dealer in Securities |
| 49 | H.E. BENNETT SECURITIES, INC.                    | Broker/Dealer in Securities |
| 50 | HDI SECURITIES, INC.                             | Broker/Dealer in Securities |
| 51 | I.B. GIMENEZ SECURITIES, INC                     | Broker/Dealer in Securities |
| 52 | IGC SECURITIES, INC.                             | Broker/Dealer in Securities |
| 53 | IMPERIAL, DE GUZMAN, ABALOS & CO., INC.          | Broker/Dealer in Securities |
| 54 | INTRA-INVEST SECURITIES, INC.                    | Broker/Dealer in Securities |
| 55 | INVESTORS SECURITIES, INC.                       | Broker/Dealer in Securities |
| 56 | J.M. BARCELON & CO., INC.                        | Broker/Dealer in Securities |
| 57 | J.P. MORGAN SECURITIES PHILIPPINES, INC.         | Broker/Dealer in Securities |
| 58 | JAKA SECURITIES CORPORATION                      | Broker/Dealer in Securities |
| 59 | JSG SECURITIES, INC.                             | Broker/Dealer in Securities |
| 60 | KING'S POWER SECURITIES, INC.                    | Broker/Dealer in Securities |
| 61 | LARRGO SECURITIES CO., INC.                      | Broker/Dealer in Securities |
| 62 | LITONJUA SECURITIES, INC.                        | Broker/Dealer in Securities |
| 63 | LOPEZ, LOCSIN, LEDESMA & CO., INC.               | Broker/Dealer in Securities |
| 64 | LUCKY SECURITIES, INC.                           | Broker/Dealer in Securities |
| 65 | LUYS SECURITIES CO., INC.                        | Broker/Dealer in Securities |
| 66 | MACQUARIE CAPITAL SECURITIES (PHILIPPINES), INC. | Broker/Dealer in Securities |
| 67 | MANDARIN SECURITIES CORPORATION                  | Broker/Dealer in Securities |
| 68 | MARIAN SECURITIES, INC.                          | Broker/Dealer in Securities |
| 69 | MAYBANK ATR KIM ENG SECURITIES, INC.             | Broker/Dealer in Securities |
| 70 | MDR SECURITIES, INC.                             | Broker/Dealer in Securities |
| 71 | MERCANTILE SECURITIES CORPORATION                | Broker/Dealer in Securities |
| 72 | MERIDIAN SECURITIES, INC.                        | Broker/Dealer in Securities |
| 73 | MOUNT PEAK SECURITIES, INC.                      | Broker/Dealer in Securities |
| 74 | MVG SECURITIES, INC.                             | Broker/Dealer in Securities |
| 75 | NOVEL SECURITIES, INC.                           | Broker/Dealer in Securities |
| 76 | OPTIMUM SECURITIES CORPORATION                   | Broker/Dealer in Securities |
| 77 | PAN ASIA SECURITIES CORPORATION                  | Broker/Dealer in Securities |
| 78 | PAPA SECURITIES CORPORATION                      | Broker/Dealer in Securities |
| 79 | PHIL-PROGRESS SECURITIES CORPORATION             | Broker/Dealer in Securities |
| 80 | PHILIPPINE EQUITY PARTNERS, INC.                 | Broker/Dealer in Securities |
| 81 | PHILSTOCKS FINANCIAL, INC                        | Broker/Dealer in Securities |
| 82 | PLATINUM SECURITIES, INC.                        | Broker/Dealer in Securities |
| 83 | PNB SECURITIES, INC.                             | Broker/Dealer in Securities |
| 84 | PREMIUM SECURITIES, INC.                         | Broker/Dealer in Securities |
| 85 | QUALITY INVESTMENTS & SECURITIES CORP.           | Broker/Dealer in Securities |
| 86 | R. COYUTO SECURITIES, INC.                       | Broker/Dealer in Securities |
| 87 | R. NUBLA SECURITIES, INC.                        | Broker/Dealer in Securities |
| 88 | R.S. LIM & COMPANY, INC.                         | Broker/Dealer in Securities |
| 89 | RCBC SECURITIES, INC.                            | Broker/Dealer in Securities |
| 90 | REGINA CAPITAL DEVELOPMENT CORPORATION           | Broker/Dealer in Securities |
| 91 | RTG & CO., INC.                                  | Broker/Dealer in Securities |
| 92 | S.J. ROXAS & CO., INC.                           | Broker/Dealer in Securities |
| 93 | SALISBURY BKT SECURITIES CORPORATION             | Broker/Dealer in Securities |

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| 94  | SARANGANI SECURITIES, INC.                                | Broker/Dealer in Securities |
| 95  | SB EQUITIES, INC.   | Broker/Dealer in Securities |
| 96  | SECURITIES SPECIALISTS, INC.                              | Broker/Dealer in Securities |
| 97  | SINCERE SECURITIES CORPORATION                            | Broker/Dealer in Securities |
| 98  | SOLAR SECURITIES, INC.                                    | Broker/Dealer in Securities |
| 99  | STANDARD SECURITIES CORPORATION                           | Broker/Dealer in Securities |
| 100 | STAR ALLIANCE SECURITIES CORPORATION                      | Broker/Dealer in Securities |
| 101 | STRATEGIC EQUITIES CORPORATION                            | Broker/Dealer in Securities |
| 102 | SUMMIT SECURITIES, INC.                                   | Broker/Dealer in Securities |
| 103 | SUNSECURITIES, INC.                                       | Broker/Dealer in Securities |
| 104 | SUPREME STOCKBROKERS, INC.                                | Broker/Dealer in Securities |
| 105 | THE FIRST RESOURCES MANAGEMENT AND SECURITIES CORPORATION | Broker/Dealer in Securities |
| 106 | TIMSON SECURITIES INC                                     | Broker/Dealer in Securities |
| 107 | TOWER SECURITIES, INC.                                    | Broker/Dealer in Securities |
| 108 | TRANS-ASIA SECURITIES, INC.                               | Broker/Dealer in Securities |
| 109 | TRI-STATE SECURITIES, INC.                                | Broker/Dealer in Securities |
| 110 | TRITON SECURITIES CORPORATION                             | Broker/Dealer in Securities |
| 111 | UBS SECURITIES PHILIPPINES, INC.                          | Broker/Dealer in Securities |
| 112 | UCPB SECURITIES, INC.                                     | Broker/Dealer in Securities |
| 113 | UNICAPITAL SECURITIES, INC.                               | Broker/Dealer in Securities |
| 114 | UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.               | Broker/Dealer in Securities |
| 115 | UPCC SECURITIES CORPORATION                               | Broker/Dealer in Securities |
| 116 | VALUE QUEST SECURITIES CORPORATION                        | Broker/Dealer in Securities |
| 117 | VC SECURITIES CORPORATION                                 | Broker/Dealer in Securities |
| 118 | VENTURE SECURITIES, INC.                                  | Broker/Dealer in Securities |
| 119 | VICSAL SECURITIES & STOCK BROKERAGE, INC.                 | Broker/Dealer in Securities |
| 120 | VSEC.COM, INC.  | Broker/Dealer in Securities |
| 121 | WEALTH SECURITIES, INC.                                   | Broker/Dealer in Securities |
| 122 | WESTLINK GLOBAL EQUITIES, INC.                            | Broker/Dealer in Securities |
| 123 | WONG SECURITIES CORPORATION                               | Broker/Dealer in Securities |
| 124 | YAO & ZIALCITA, INC.                                      | Broker/Dealer in Securities |
| 125 | YU & COMPANY, INC.  | Broker/Dealer in Securities |
| 126 | ANSALDO, GODINEZ & CO., INC.                              | Broker/Dealer in Securities |

|   | Company Name  | License Type         |
|---|---|----------------------|
| 1 | A & A SECURITIES, INC.  | Broker in Securities |
| 2 | ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORPORATION              | Broker in Securities |
| 3 | CITICORP FINANCIAL SERVICES AND INSURANCE BROKERAGE PHILIPPINES, INC. | Broker in Securities |
| 4 | DA MARKET SECURITIES, INC.  | Broker in Securities |
| 5 | DAVID GO SECURITIES CORPORATION                                       | Broker in Securities |
| 6 | NEW WORLD SECURITIES CO., INC.  | Broker in Securities |
| 7 | TANSENGCO & COMPANY, INC.   | Broker in Securities |
| 8 | YAPSTER E-TRADE, INC.   | Broker in Securities |

|   | Company Name          | License Type         |
|---|-----------------------|----------------------|
| 1 | LEGACY EQUITIES, INC. | Dealer in Securities |

|   | Company Name                            | License Type                 |
|---|---|------------------------------|
| 1 | G & W CLUBSHARES, INC.                  | Broker in Proprietary Shares |
| 2 | G G & A CLUB SHARES BROKERS, INC.       | Broker in Proprietary Shares |
| 3 | GOLFERS CLUB SHARES, INC.               | Broker in Proprietary Shares |
| 4 | PRESTIGE GOLF ACCESS & CLUBSHARES, INC. | Broker in Proprietary Shares |

|  | Company Name | License Type |
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|---|--|----------------------------|
| 1 | AFS PHILIPPINES, INC.                          | Voice Broker to Securities |
| 2 | GFI GROUP (PHILIPPINES) INC.                   | Voice Broker to Securities |
| 3 | TRADITION FINANCIAL SERVICES PHILIPPINES, INC. | Voice Broker to Securities |
| 4 | TULLETT PREBON (PHILIPPINES) INC.              | Voice Broker to Securities |

|   | Company Name                 | License Type      |
|---|------------------------------|-------------------|
| 1 | AREIT FUND MANAGERS INC.     | REIT Fund Manager |
| 2 | DDMP REIT FUND MANAGERS INC. | REIT Fund Manager |
| 3 | FREIT FUND MANAGERS INC.     | REIT Fund Manager |
| 4 | RL FUND MANAGEMENT INC.      | REIT Fund Manager |
| 5 | MREIT FUND MANAGERS, INC.    | REIT Fund Manager |

|   | Company Name                     | License Type          |
|---|----------------------------------|-----------------------|
| 1 | AREIT PROPERTY MANAGERS, INC.    | REIT Property Manager |
| 2 | DDMP REIT PROPERTY MANAGERS INC. | REIT Property Manager |
| 3 | PRO OFFICE WORK SERVICES, INC.   | REIT Property Manager |
| 4 | RL PROPERTY MANAGEMENT, INC.     | REIT Property Manager |
| 5 | MREIT PROPERTY MANAGERS, INC.    | REIT Property Manager |

|    | Company Name  | License Type   |
|----|---|----------------|
| 1  | AB STOCK TRANSFERS CORPORATION                            | Transfer Agent |
| 2  | METROPOLITAN BANK AND TRUST COMPANY                       | Transfer Agent |
| 3  | PHILIPPINE DEPOSITORY & TRUST CORPORATION                 | Transfer Agent |
| 4  | PHILIPPINE NATIONAL BANK                                  | Transfer Agent |
| 5  | PHILIPPINE TRUST COMPANY ALSO KNOWN AS PHILTRUST BANK     | Transfer Agent |
| 6  | RIZAL COMMERCIAL BANKING CORPORATION                      | Transfer Agent |
| 7  | SMC STOCK TRANSFER SERVICE CORPORATION                    | Transfer Agent |
| 8  | STOCK TRANSFER SERVICE, INC.                              | Transfer Agent |
| 9  | UNION BANK OF THE PHILIPPINES                             | Transfer Agent |
| 10 | ATR ASSET MANAGEMENT, INC.                                | Transfer Agent |
| 11 | BDO UNIBANK, INC.   | Transfer Agent |
| 12 | BANK OF THE PHILIPPINE ISLANDS- BPI STOCK TRANSFER OFFICE | Transfer Agent |
| 13 | BPI INVESTMENT MANAGEMENT, INC.                           | Transfer Agent |
| 14 | DEUTSCHE BANK AG  | Transfer Agent |
| 15 | PRIME STOCK TRANSFER SERVICES, INC.                       | Transfer Agent |
| 16 | PROFESSIONAL STOCK TRANSFER, INC.                         | Transfer Agent |
| 17 | SUN LIFE ASSET MANAGEMENT COMPANY, INC.                   | Transfer Agent |

|   | Company Name                                       | License Type   |
|---|--|----------------|
| 1 | THE PHILIPPINE STOCK EXCHANGE, INC.                | EXCHANGE/SRO   |
| 2 | CAPITAL MARKETS INTEGRITY CORPORATION              | SRO            |
| 3 | PHILIPPINE DEALING & EXCHANGE CORP.                | EXCHANGE/SRO   |
| 4 | PHILIPPINE DEPOSITORY & TRUST CORP.                | DEPOSITORY     |
| 5 | PHILIPPINE DEALING & EXCHANGE CORP.                | N/A            |
| 6 | PHILIPPINE DEALING SYSTEM HOLDINGS CORP.           | N/A            |
| 7 | SECURITIES CLEARING CORPORATION OF THE PHILIPPINES | CLEARING HOUSE |

|   | Company                                | License Type |
|---|--|--------------|
| 1 | REGINA CAPITAL DEVELOPMENT CORPORATION | ATS Operator |

|   | Company                        | License Type                  |
|---|--------------------------------|-------------------------------|
| 1 | BDO CAPITAL & INVESTMENT CORP. | Registrar of Qualified Buyers |
| 2 | BPI CAPITAL CORPORATION        | Registrar of Qualified Buyers |
| 3 | CHINA BANKING CORPORATION      | Registrar of Qualified Buyers |
| 4 | CTBC BANK (PHILIPPINES) CORP.  | Registrar of Qualified Buyers |
| 5 | CITIBANK N.A.                  | Registrar of Qualified Buyers |

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| 6  | CITICORP FINANCIAL SERVICES & INSURANCE BROKERAGE PHILIPPINES, INC. (CFSI) | Registrar of Qualified Buyers |
| 7  | EASTWEST BANKING CORPORATION   | Registrar of Qualified Buyers |
| 8  | FIRST METRO INVESTMENT CORPORATION   | Registrar of Qualified Buyers |
| 9  | HONGKONG & SHANGHAI BANKING CORP.  | Registrar of Qualified Buyers |
| 10 | ING BANK N.V. Manila Branch  | Registrar of Qualified Buyers |
| 11 | MAYBANK PHILIPPINES INC.   | Registrar of Qualified Buyers |
| 12 | METROPOLITAN BANK & TRUST COMPANY  | Registrar of Qualified Buyers |
| 13 | MULTINATIONAL INVESTMENT BANCORPORATION                                    | Registrar of Qualified Buyers |
| 14 | PHILIPPINE COMMERCIAL CAPITAL INC.   | Registrar of Qualified Buyers |
| 15 | PHILIPPINE NATIONAL BANK   | Registrar of Qualified Buyers |
| 16 | PHILIPPINE VETERANS BANK   | Registrar of Qualified Buyers |
| 17 | RIZAL COMMERCIAL BANKING CORPORATION                                       | Registrar of Qualified Buyers |
| 18 | REGINA CAPITAL DEVELOPMENT CORPORATION                                     | Registrar of Qualified Buyers |
| 19 | SECURITY BANK CORPORATION  | Registrar of Qualified Buyers |
| 20 | UNICAPITAL INCORPORATED  | Registrar of Qualified Buyers |
| 21 | UNION BANK   | Registrar of Qualified Buyers |
| 22 | VICSAL INVESTMENT INC.   | Registrar of Qualified Buyers |
| 23 | PNB CAPITAL AND INVESTMENT CORP.   | Registrar of Qualified Buyers |

|   | Company                    | License Type              |
|---|----------------------------|---------------------------|
| 1 | INVESTREE PHILIPPINES INC. | Crowdfunding Intermediary |

|    | Company  | License Type        |
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| 1  | AFFINITY GLOBAL MULTI-ASSET FUND, INC.                           | Mutual Fund Company |
| 2  | AIB MONEY MARKET MUTUAL FUND, INC.                               | Mutual Fund Company |
| 3  | ALFM DOLLAR BOND FUND, INC.                                      | Mutual Fund Company |
| 4  | ALFM EURO BOND FUND, INC.  | Mutual Fund Company |
| 5  | ALFM GLOBAL MULTI ASSET FUND                                     | Mutual Fund Company |
| 6  | ALFM GROWTH FUND, INC.   | Mutual Fund Company |
| 7  | ALFM MONEY MARKET FUND, INC.                                     | Mutual Fund Company |
| 8  | ALFM PESO BOND FUND, INC.  | Mutual Fund Company |
| 9  | ATRAM ALPHA OPPORTUNITY FUND, INC.                               | Mutual Fund Company |
| 10 | ATRAM ASIAPLUS EQUITY FUND, INC.                                 | Mutual Fund Company |
| 11 | ATRAM CORPORATE BOND FUND, INC.                                  | Mutual Fund Company |
| 12 | ATRAM DYNAMIC ALLOCATION FUND, INC.                              | Mutual Fund Company |
| 13 | ATRAM PHILIPPINE BALANCE FUND, INC.                              | Mutual Fund Company |
| 14 | ATRAM PHILIPPINE EQUITY OPPORTUNITY FUND                         | Mutual Fund Company |
| 15 | ATRAM TOTAL RETURN BOND FUND                                     | Mutual Fund Company |
| 16 | CLIMBS SHARE CAPITAL EQUITY INVESTMENT CORPORATION               | Mutual Fund Company |
| 17 | COCOLIFE DOLLAR FUND BUILDER, INC.                               | Mutual Fund Company |
| 18 | COCOLIFE FIXED INCOME FUND, INC.                                 | Mutual Fund Company |
| 19 | COL EQUITY INDEX UNITIZED MUTUAL FUND, INC.                      | Mutual Fund Company |
| 20 | COL CASH MANAGEMENT UMF , INC.                                   | Mutual Fund Company |
| 21 | EKKLESIA MUTUAL FUND, INC.                                       | Mutual Fund Company |
| 22 | FILIPINO FUND, INC.  | Mutual Fund Company |
| 23 | FIRST METRO CONSUMER FUND ON MSCI PHILIPPINES IMI, INC.          | Mutual Fund Company |
| 24 | FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.         | Mutual Fund Company |
| 25 | FIRST METRO SAVE & LEARN BALANCE FUND. INC.                      | Mutual Fund Company |
| 26 | FIRST METRO SAVE & LEARN DOLLAR BOND FUND, INC.                  | Mutual Fund Company |
| 27 | FIRST METRO SAVE & LEARN EQUITY FUND                             | Mutual Fund Company |
| 28 | FIRST METRO SAVE & LEARN FIXED INCOME FUND                       | Mutual Fund Company |
| 29 | FIRST METRO SAVE & LEARN MONEY MARKET FUND, INC.                 | Mutual Fund Company |
| 30 | FIRST METRO SAVE AND LEARN ASIA FOCUS EQUITY FUND, INC.          | Mutual Fund Company |
| 31 | FIRST METRO SAVE AND LEARN F.O.C.C.U.S DYNAMIC FUND, INC.        | Mutual Fund Company |
| 32 | FIRST METRO SAVE AND LEARN PHILIPPINE INDEX FUND (SALPHIN), INC. | Mutual Fund Company |
| 33 | MAA PRIVILEGE DOLLAR FIXED INCOME FUND , INC.                    | Mutual Fund Company |
| 34 | MAA PRIVILEGE EURO FIXED INCOME FUND , INC.                      | Mutual Fund Company |

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| 35 | MBG EQUITY INVESTMENT FUND, INC.                         | Mutual Fund Company |
| 36 | NCM MUTUAL FUND, INC.                                    | Mutual Fund Company |
| 37 | PAMI ASIA BALANCED FUND, INC.                            | Mutual Fund Company |
| 38 | PAMI EQUITY INDEX FUND, INC.                             | Mutual Fund Company |
| 39 | PAMI GLOBAL BOND FUND, INC.                              | Mutual Fund Company |
| 40 | PAMI HORIZON FUND, INC.                                  | Mutual Fund Company |
| 41 | PHILAM BOND FUND, INC.                                   | Mutual Fund Company |
| 42 | PHILAM DOLLAR BOND FUND, INC.                            | Mutual Fund Company |
| 43 | PHILAM FUND, INC.  | Mutual Fund Company |
| 44 | PHILAM MANAGED INCOME FUND, INC.                         | Mutual Fund Company |
| 45 | PHILAM STRATEGIC GROWTH FUND, INC.                       | Mutual Fund Company |
| 46 | PHILEQUITY ALPHA ONE FUND, INC.                          | Mutual Fund Company |
| 47 | PHILEQUITY DIVIDEND YIELD FUND, INC.                     | Mutual Fund Company |
| 48 | PHILEQUITY DOLLAR INCOME FUND, INC.                      | Mutual Fund Company |
| 49 | PHILEQUITY FUND, INC.                                    | Mutual Fund Company |
| 50 | PHILEQUITY MSCI PHILIPPINES INDEX FUND, INC              | Mutual Fund Company |
| 51 | PHILEQUITY PESO BOND FUND, INC.                          | Mutual Fund Company |
| 52 | PHILEQUITY PSE INDEX FUND, INC.                          | Mutual Fund Company |
| 53 | PHILEQUITY STRATEGIC GROWTH FUND, INC.                   | Mutual Fund Company |
| 54 | PHILEQUITY GLOBAL BOND FUND, INC.                        | Mutual Fund Company |
| 55 | PHILIPPINE STOCK INDEX FUND, INC.                        | Mutual Fund Company |
| 56 | SOLDIVO BOND FUND, INC.                                  | Mutual Fund Company |
| 57 | SOLDIVO STRATEGIC FUND, INC.                             | Mutual Fund Company |
| 58 | SOLIDARITAS FUND, INC.                                   | Mutual Fund Company |
| 59 | SUN LIFE OF CANADA PROSPERITY BALANCED FUND, INC.        | Mutual Fund Company |
| 60 | SUN LIFE OF CANADA PROSPERITY BOND FUND, INC.            | Mutual Fund Company |
| 61 | SUN LIFE OF CANADA PROSPERITY PHIL. EQUITY FUND          | Mutual Fund Company |
| 62 | SUN LIFE PROSPERITY DOLLAR WELLSRING FUND, INC.          | Mutual Fund Company |
| 63 | SUN LIFE PROSPERITY ACHIVER FUND 2028, INC.              | Mutual Fund Company |
| 64 | SUN LIFE PROSPERITY ACHIVER FUND 2038, INC.              | Mutual Fund Company |
| 65 | SUN LIFE PROSPERITY ACHIVER FUND 2048, INC.              | Mutual Fund Company |
| 66 | SUN LIFE PROSPERITY DOLLAR ABUNDANCE FUND                | Mutual Fund Company |
| 67 | SUN LIFE PROSPERITY DOLLAR ADVANTAGE FUND, INC.          | Mutual Fund Company |
| 68 | SUN LIFE PROSPERITY DOLLAR STARTER FUND, INC.            | Mutual Fund Company |
| 69 | SUN LIFE PROSPERITY DYNAMIC FUND, INC.                   | Mutual Fund Company |
| 70 | SUN LIFE PROSPERITY GS FUND, INC.                        | Mutual Fund Company |
| 71 | SUN LIFE PROSPERITY MONEY MARKET FUND, INC.              | Mutual Fund Company |
| 72 | SUN LIFE PROSPERITY PHILIPPINE STOCK INDEX FUND, INC.    | Mutual Fund Company |
| 73 | SUN LIFE PROSPERITY WORLD EQUITY INDEX FEEDER FUND, INC. | Mutual Fund Company |
| 74 | SUN LIFE PROSPERITY WORLD VOYAGER FUND, INC.             | Mutual Fund Company |
| 75 | UNITED FUND, INC.  | Mutual Fund Company |